

Bounded Arithmetic in Free Logic

Yoriyuki Yamagata

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Buss's theories S_2^i

- Language of Peano Arithmetic + “#”
 - $a \# b = 2^{|a| \cdot |b|}$
- BASIC axioms
- PIND

$$\frac{A\left(\left\lfloor \frac{x}{2} \right\rfloor\right), \Gamma \rightarrow \Delta, A(x)}{A(0), \Gamma \rightarrow \Delta, A(t)}$$

where $A(x) \in \Sigma_i^b$, i.e. has i -alternations of bounded quantifiers $\forall x \leq t, \exists x \leq t$.

PH and Buss's theories S_2^i

$$S_2^1 = S_2^2 = S_2^3 = \dots$$

Implies

$$P = \square(NP) = \square(\Sigma_2^p) = \dots$$

We can approach (non) collapse of PH from
(non) collapse of hierarchy of Buss's theories

(PH = Polynomial Hierarchy)

Our approach

- Separate S_2^i by Gödel incompleteness theorem
- Use analogy of separation of $I\Sigma_i$

Separation of $I\Sigma_i$

\vdots

$I\Sigma_3 \vdash \text{Con}(I\Sigma_2)$

\cup

$I\Sigma_2 \not\vdash \text{Con}(I\Sigma_2)$

\cup

$I\Sigma_1$

Consistency proof inside S_2^i

- Bounded Arithmetics generally are not capable to prove consistency.
 - S_2 does not prove consistency of Q (Paris, Wilkie)
 - S_2 does not prove bounded consistency of S_2^1 (Pudlák)
 - S_2^i does not prove consistency the B_i^b fragment of S_2^{-1} (Buss and Ignjatović)

Buss and Ignjatović(1995)

⋮

$$S_2^3 \not\sim B_3^b - \text{Con}(S_2^{-1})$$

UI

$$S_2^2 \not\sim B_2^b - \text{Con}(S_2^{-1})$$

UI

$$S_2^1 \not\sim B_1^b - \text{Con}(S_2^{-1})$$

Where...

- $B_i^b - Con(T)$
 - consistency of B_i^b –proofs
 - B_i^b –proofs : the proofs by B_i^b -formule
 - $B_i^b : \Sigma_0^b(\Sigma_i^b) \dots$ Formulas generated from Σ_i^b by Boolean connectives and sharply bounded quantifiers.
- S_2^{-1}
 - Induction free fragment of S_2^i

If...

$$S_2^j \vdash B_i^b - \text{Con}(S_2^{-1}), j > i$$

Then, Buss's hierarchy does not collapse.

Consistency proof of S_2^{-1} inside S_2^i

Problem

- No truth definition, because
- No valuation of terms, because
 - The values of terms increase exponentially
 - E.g. $2 \# 2 \# 2 \# 2 \# 2 \# \dots \# 2$

In S_2^i world, terms do not have values *a priori*.

- Thus, we must prove the existence of values in proofs.
- We introduce the predicate E which signifies existence of values.

Our result(2012)

⋮

$$S_2^5 \vdash 3 - \text{Con}(S_2^{-1}E)$$

UI

$$S_2^4 \vdash 2 - \text{Con}(S_2^{-1}E)$$

UI

$$S_2^3 \vdash 1 - \text{Con}(S_2^{-1}E)$$

Where...

- $i - Con(T)$
 - consistency of i -normal proofs
 - i -normal proofs : the proofs by i -normal formulas
 - i -normal formulas: Formulas in the form:
$$\exists x_1 \leq t_1 \forall x_2 \leq t_2 \dots Q x_i \leq t_i Q x_{i+1} \leq |t_{i+1}|. A(\dots)$$
Where A is quantifier free

Where...

- $S_2^{-1}E$
 - Induction free fragment of S_2^iE
 - have predicate E which signifies existence of values
 - Such logic is called *Free logic*

S_2^iE (Language)

Predicates

- $=, \leq, E$

Function symbols

- Finite number of polynomial functions

Formulas

- Atomic formula, negated atomic formula
- $A \vee B, A \wedge B$
- Bounded quantifiers

$S_2^i E$ (Axioms)

- E -axioms
- Equality axioms
- Data axioms
- Defining axioms
- Auxiliary axioms

Idea behind axioms...

$$\cancel{\rightarrow a = a}$$

Because there is no guarantee of Ea
Thus, we add Ea in the antecedent

$$Ea \rightarrow a = a$$

E-axioms

- $Ef(a_1, \dots, a_n) \rightarrow Ea_j$
- $a_1 = a_2 \rightarrow Ea_j$
- $a_1 \neq a_2 \rightarrow Ea_j$
- $a_1 \leq a_2 \rightarrow Ea_j$
- $\neg a_1 \leq a_2 \rightarrow Ea_j$

Equality axioms

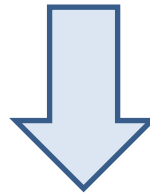
- $Ea \rightarrow a = a$
- $Ef(\vec{a}), \vec{a} = \vec{b} \rightarrow f(\vec{a}) = f(\vec{b})$

Data axioms

- $\rightarrow E0$
- $Ea \rightarrow Es_0a$
- $Ea \rightarrow Es_1a$

Defining axioms

$$f(u(a_1), a_2, \dots, a_n) = t(a_1, \dots, a_n)$$

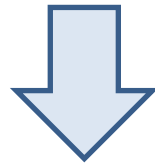


$$u(a) = 0, a, s_0 a, s_1 a$$

$$Ea_1, \dots, Ea_n, Et(a_1, \dots, a_n) \rightarrow \\ f(u(a_1), a_2, \dots, a_n) = t(a_1, \dots, a_n)$$

Auxiliary axioms

$$|a| = |b| \supset a\#c = b\#c$$



$$Ea\#c, Eb\#c, |a| = |b| \rightarrow a\#c = b\#c$$

PIND-rule

$$\frac{\Gamma \rightarrow \Delta, A(0) \quad A(a), \Gamma \rightarrow \Delta, A(s_0a) \quad A(a), \Gamma \rightarrow \Delta, A(s_1a)}{Et, \Gamma \rightarrow \Delta, A(t)}$$

where A is an Σ_i^b -formulas

Bootstrapping $S_2^i E$

- I.* $S_2^i E \vdash \text{Tot}(f)$ for any $f, i \geq 0$
- II.* $S_2^i E \vdash \text{BASIC}^*$, (equality axioms)*
- III.* $S_2^i E \vdash (\text{predicate logic})^*$
- IV.* $S_2^i E \vdash \Sigma_i^b \text{-PIND}^*$

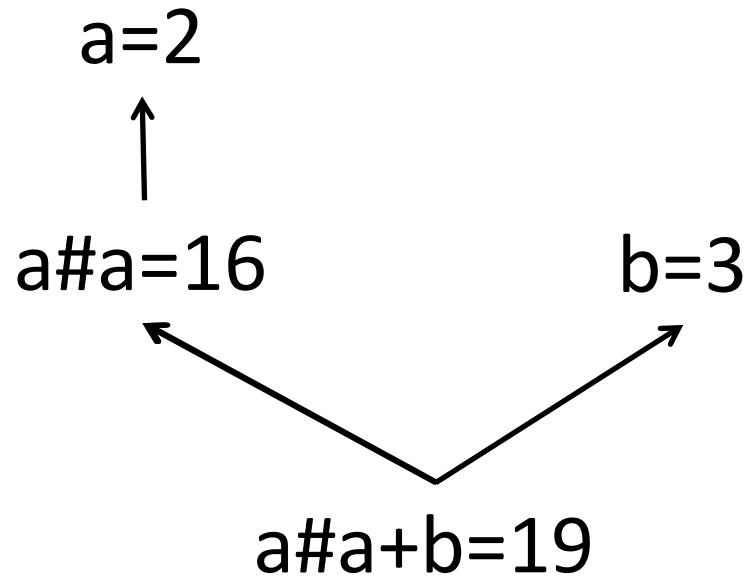
Theorem (Consistency)

$$S_2^{i+2} \vdash i - \text{Con}(S_2^{-1}E)$$

Valuation trees

ρ -valuation tree bounded by 19

$$\rho(a)=2, \rho(b)=3$$



$$v([a\#a + b], \rho) \downarrow_{19} 19$$

$$v([t], \rho) \downarrow_u c \text{ is } \Sigma_1^b$$

Bounded truth definition (1)

- $T(u, [t_1 = t_2], \rho) \Leftrightarrow_{\text{def}} \exists c \leq u, v([t_1], \rho) \downarrow_u c \wedge v([t_2], \rho) \downarrow_u c$
- $T(u, [\phi_1 \wedge \phi_2], \rho) \Leftrightarrow_{\text{def}} T(u, [\phi_1], \rho) \wedge T(u, [\phi_2], \rho)$
- $T(u, [\phi_1 \vee \phi_2], \rho) \Leftrightarrow_{\text{def}} T(u, [\phi_1], \rho) \vee T(u, [\phi_2], \rho)$

Bounded truth definition (2)

- $T(u, [\exists x \leq t, \phi(x)], \rho) \Leftrightarrow_{\text{def}}$
 $\exists c \leq u, v([t], \rho) \downarrow_u c \wedge$
 $\exists d \leq c, T(u, [\phi(x)], \rho[x \mapsto d])$
- $T(u, [\forall x \leq t, \phi(x)], \rho) \Leftrightarrow_{\text{def}}$
 $\exists c \leq u, v([t], \rho) \downarrow_u c \wedge$
 $\forall d \leq c, T(u, [\phi(x)], \rho[x \mapsto d])$

Remark: If ϕ is Σ_i^b , $T(u, [\phi])$ is Σ_{i+1}^b

induction hypothesis

u : enough large integer

r : node of a proof of $0=1$

$\Gamma_r \rightarrow \Delta_r$: the sequent of node r

ρ : assignment $\rho(a) \leq u$

$$\forall u' \leq u \ominus r, \{[\forall A \in \Gamma_r T(u', [A], \rho)] \supset [\exists B \in \Delta_r, T(u' \oplus r, [B], \rho)]\}$$

Conjecture

- $S_2^{-1}E$ is weak enough
 - S_2^{i+2} can prove i -consistency of $S_2^{-1}E$
- While $S_2^{-1}E$ is strong enough
 - $S_2^i E$ can interpret S_2^i
- Conjecture
 - $S_2^{-1}E$ is a good candidate to separate S_2^i and S_2^{i+2} .

Future works

- Long-term goal

$$S_2^i \vdash i\text{-Con}(S_2^{-1}E)?$$

- Short-term goal

- Simplify $S_2^i E$

Publications

- Bounded Arithmetic in Free Logic
Logical Methods in Computer Science
Volume 8, Issue 3, Aug. 10, 2012