# Determinacy and Turing Determinacy within second-order arithmetic.

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# Outline

### (1) Determinacy

How much determinacy can be proved without using uncountable objects?

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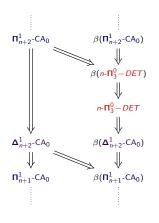
#### (2) Turing Determinacy

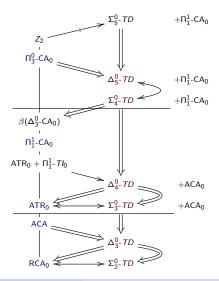
What is the strength of the various levels of Turing determinacy?

# A preview

**Turing Determinacy** 

Determinacy





Antonio Montalbán (U.C. Berkeley)

Determinacy and Turing Det. in S.O.A.

### Countable mathematics

#### Second order arithmetic, Z<sub>2</sub>, consist of

- $\bullet\,$  ordered semi-ring axioms for  $\mathbb N$
- induction for all 2<sup>nd</sup>-order formulas
- comprehension for all 2<sup>nd</sup>-order formulas

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Most of classical mathematics can be expressed and proved in  $Z_2$ .

Thm:  $ZFC^-$  is  $\Pi_4^1$ -conservative over  $Z_2$ , where  $ZFC^-$  is ZFC without the Power-set axiom.

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(Obs: Borel-DET and \Pi_k^0-DET are \Pi_3^1-statements.)
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No example of a classical theorem of  $Z_2$  needed more than  $\Pi_3^1$ -CA<sub>0</sub>. We provide a hierarchy of natural statements

that need axioms all the way up in  $Z_2$ .

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Player II		$a_1$		a <sub>3</sub>	•••

let 
$$\bar{a} = (a_0, a_1, a_2, a_3, ...)$$

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For a class of sets of reals  $\Gamma \subseteq \mathcal{P}(\omega^{\omega})$ , let  $\Gamma$ -DET: Every  $A \in \Gamma$  is determined.

Г	Γ-DET	remark
Open $(\Sigma_1^0)$	[Gale Stwart 53]	
$G_{\delta} (\Pi_2^0)$		
$F_{\sigma\delta}$ ( $\Pi_3^0$ )		
$G_{\delta\sigma\delta}$ ( $\Pi_4^0$ )		
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Question: [Nemoto 08]

What is the strength of determinacy along the Wadge hierarchy?

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Determinacy, along the Wadge hierarchy, provides a naturally defined spine of statements

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#### Equivalently:

#### How much determinacy can be proved in $Z_2$ ?

### Previously known results

Г	strength of Γ-DET		base
$\Delta_1^0$	ATR <sub>0</sub>	[Steel 78]	RCA <sub>0</sub>
$\Sigma_1^0$	ATR <sub>0</sub>	[Steel 78]	RCA <sub>0</sub>
$\Sigma_1^0 \wedge \Pi_1^0$	$\Pi_1^1$ -CA <sub>0</sub>	[Tanaka 90]	RCA <sub>0</sub>
$\Delta_2^0$	$\Pi_1^1$ -TR <sub>0</sub>	[Tanaka 91]	RCA <sub>0</sub>
$\Pi_2^0$	$\Sigma_1^1$ -ID <sub>0</sub>	[Tanaka 91]	$ATR_0$
$\Delta_3^0$	$[\Sigma_1^1]^{TR}$ -ID <sub>0</sub>	[MedSalem, Tanaka 08]	$\Pi^1_1$ - $TI_0$
$\Pi_3^0$	Π <sub>3</sub> <sup>1</sup> -CA <sub>0</sub> ⊢	$\Delta_3^1$ -CA <sub>0</sub> $\not\vdash$ [Welch 09]	
$\Pi_4^0$	$Z_2 \not\vdash$	[Martin] [Friedman 71]	

Our results on determinacy

# Our main results on determinacy

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Theorem (essentially due to Martin)

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Theorem ([MS 14] The following are equiconsistent)

- *Z*<sub>2</sub>
- *ZFC*<sup>-</sup>

• The scheme { "Every Boolean combination of  $n \Pi_3^0$  sets is determined.":  $n \in \mathbb{N}$ }

**Def:**  $A \subseteq \omega^{\omega}$  is m- $\Pi_3^0$  if there are  $\Pi_3^0$  sets  $A_0 \supseteq A_1 \supseteq ... \supseteq A_m = \emptyset$ s.t.:  $A = (...(((A_0 \setminus A_1) \cup A_2) \setminus A_3) \cup ...)$ 

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Thm: [Kuratowski 58] 
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#### **Q**: What is the strength of $n-\Pi_3^0$ -DET?

Recall:

 $\Pi_n^1$ -CA<sub>0</sub> is  $Z_2$  with induction and comprehension restricted to  $\Pi_n^1$  formulas.

 $\Delta_n^1$ -CA<sub>0</sub> is Z<sub>2</sub> with induction and comprehension restricted to  $\Delta_n^1$  sets.

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Theorem ([MS 12], following Martin's proof)  $\Pi^{1}_{n+2}$ - $CA_0 \vdash n-\Pi^{0}_3 - DET.$ 

Recall:  $\Pi_n^1$ -CA<sub>0</sub> is  $Z_2$  with induction and comprehension restricted to  $\Pi_n^1$  formulas.  $\Delta_n^1$ -CA<sub>0</sub> is  $Z_2$  with induction and comprehension restricted to  $\Delta_n^1$  sets.

Theorem ([MS 12], following Martin's proof)  $\Pi_{n+2}^1 - CA_0 \vdash n - \Pi_3^0 - DET.$ 

Theorem ([MS 12])  $\Delta_{n+2}^1 - CA_0 \not\vdash n - \Pi_3^0 - DET.$ 

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[Welch 09] had already proved the cases n = 1.

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**Corollary:** 
$$(\forall n), Z_2 \vdash n \cdot \Pi_3^0 - DET$$
, but  $Z_2 \not\vdash (\forall n) n \cdot \Pi_3^0 - DET$ .

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**Theorem**: [MedSalem, Tanaka 07]  $\Pi_1^1$ -CA<sub>0</sub> + Borel-DET  $\neq \Delta_2^1$ -CA<sub>0</sub>.

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Theorem ([MS 12]) Let T be a true  $\Sigma_4^1$  sentence. Then, for  $n \ge 2$ , •  $\Pi_n^1 - CA_0 + T \not\vdash \Delta_{n+1}^1 - CA_0$ 

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Reversals aren't possible:

Theorem: [MedSalem, Tanaka 07]  $\Pi_1^1$ -CA<sub>0</sub> + Borel-DET  $\neq \Delta_2^1$ -CA<sub>0</sub>.

Theorem ([MS 12])

Let T be a true  $\Sigma_4^1$  sentence. Then, for  $n \ge 2$ ,

- $\Pi_n^1 CA_0 + T \not\vdash \Delta_{n+1}^1 CA_0$
- $\Delta_n^1$ -CA<sub>0</sub> + T  $\nvdash \Pi_n^1$ -CA<sub>0</sub>

Obs: Borel-DET and  $m-\Pi_3^0$ -DET are  $\Pi_3^1$  theorems of ZFC.

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 $Z_2 <_c Z_2 + Con(Z_2) <_c Z_2 + Con(Z_2) + Con(Z_2 + Con(Z_2)) <_c \cdots <_c \omega - \Pi_3^0 - DET.$ 

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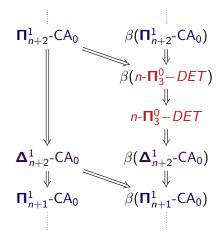
Thm: [Friedman]  $\operatorname{RCA}_0 \vdash \beta(\operatorname{ATR}_0) \iff \Pi_1^1 \operatorname{-CA}_0$ .

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**Lemma:** [Friedman 71] The least ordinal such that  $L_{\beta} \models \Pi^{0}_{4+\beta}$ -DET is greater than or equal the least ordinal such that  $L_{\beta} \models$ ZFC+  $\beta$ -iterates of Power set.

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#### What is the strength of the various levels of Turing determinacy?

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For a class of sets of reals  $\mathsf{\Gamma}\subseteq\mathcal{P}(\omega^\omega)$ , let

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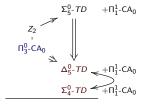
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# $\Sigma^0_3$ and $\Delta^0_3$ -TD

Theorem ([MS 15])

 $ACA_0 + \Sigma_3^0 - TD \vdash ATR_0.$ 

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Antonio Montalbán (U.C. Berkeley) Determinacy and Turing Det. in S.O.A.

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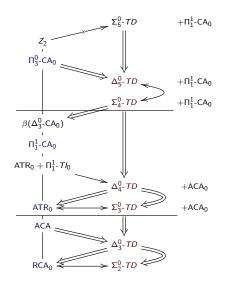
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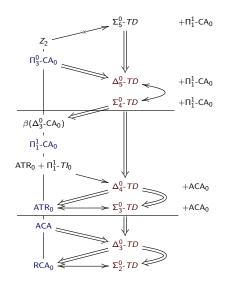
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#### The Picutre

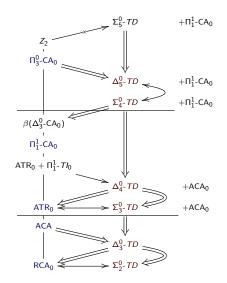


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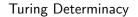
**Q**: WKL<sub>0</sub> +  $\Delta_3^0$ -*TD*  $\vdash$  ACA<sub>0</sub>?

#### The Picutre



$$\begin{split} \mathbf{Q} &: \mathsf{WKL}_0 + \Delta_3^0 \text{-} \textit{TD} \vdash \mathsf{ACA}_0? \\ \\ \mathbf{Q} &: \mathsf{ATR}_0 + \Sigma_1^1 \text{-} \textit{IND} \vdash \Delta_4^0 \text{-} \textit{TD}? \\ \\ \\ \\ \mathbf{Q} &: \mathsf{ACA}_0 + \Delta_4^0 \text{-} \textit{TD} \vdash \Sigma_1^1 \text{-} \textit{IND}? \end{split}$$

#### Thank you



Determinacy

