

*Comparing sets of natural numbers using randomness  
and lowness properties.*

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# Motivation

- One way to classify  $\mathcal{P}(\mathbb{N})$  is to define a reducibility and a degree structure.
- In fact, many structures studied in recursion theory such as structures, equivalence relations, mass problems, real life problems (complexity theory), etc is commonly compared this way.
- A reducibility is usually a pre-ordering used to compare the “strength” of two reals.
  - When one problem is harder to solve than another (mass problems, complexity theory)
  - When information given about one real naturally produces information about the other ( $\leq_T, \leq_e$ )
  - When one real contains more “information” than another ( $\leq_{LR}, \leq_K$ , etc)

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- This preordering partitions the continuum into equivalence classes, which can then be ordered accordingly.
- One can look at classical versus weak reducibilities (particularly arising in study of algorithmic randomness)
- Reducibilities are used to define when a real is weak in information content (which we denote generically as “low”), and its dual “highness”.

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- Reducibilities are used to define when a real is weak in information content (which we denote generically as “low”), and its dual “highness”.

# Classical Reducibilities

- Most classical reducibilities are defined in terms of an underlying (usually continuous) map that induces the reduction, e.g.

$A \leq_T B$  iff there is a computable continuous functional

$\Phi : \mathcal{P}(\mathbb{N}) \mapsto \mathcal{P}(\mathbb{N})$  such that  $\Phi(A) = B$ .

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# Reducibilities using Randomness

- The study of relative randomness lead to new reducibilities being looked at. (e.g. Downey-Hirschfeldt-Laforte, Nies).
- In fact, Nies has explicitly listed some conditions which a preordering  $\leq_W$  should have to be considered a **weak reducibility**:
  - It should be weaker than Turing reducibility (used as the benchmark in recursion theory), i.e. for all sets  $A, B$ ,

$$A \leq_T B \implies A \leq_W B$$

- The reducibility should be easily definable, i.e.  $\leq_W$  should be  $\Sigma_n^0$  as a relation on sets.
- $X' \leq_W X$  for any  $X$ .



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# Reducibilities using Randomness

- So a weak reducibility should not be too different from the Turing reducibility.
- E.g.

$$A \leq_{ar} B \Leftrightarrow A \leq_T B^{(n)} \text{ for some } n$$

should not be considered a weak reducibility.

- If  $A \leq_W B$  then  $B$  can only understand a small part or aspect of  $A$ . Compare to  $A \leq_T B$  where  $B$  knows everything of  $A$ .
- Weak reducibilities usually do not have an underlying map which induces the reduction.
  - $\Sigma_3^0$  so each reduction still has an index.
  - However each reduction might reduce many (even uncountably many) reals  $B$  to a single one  $A$ , i.e.  $B \leq_W A$ .

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# Weak Reducibilities

- Some considerations. Given a real,
  - How random is it compared to another?
  - How much information is contained in its initial segments?
  - How much power does it have to compress finite binary strings?
  - How much power does it have to derandomize other reals?
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# Reducibilities using Randomness

- A list of the more common weak reducibilities:

$A \leq_T B$	the benchmark
$A \leq_{LK} B$	$K^B(\sigma) \leq^+ K^A(\sigma)$
$A \leq_{LR} B$	every $B$ random is $A$ -random
$A \leq_{JT} B$	Every partial $A$ -recursive function can be traced by a $B$ -r.e. trace

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# Other weak reducibilities

- There are many other weak reducibilities studied (See Nies's book).

$$A \leq B \iff A' \leq_T B'$$

$$A \leq_{CT} B \iff A \text{ is computably traceable relative } B$$

$$A \leq_{cdom} B \iff \text{each } A\text{-recursive function is dominated by a } B\text{-recursive function.}$$

$$A \leq_{SJT} B \iff A \text{ is strongly jump traceable by } B \text{ (a partial relativization).}$$

Some other ones, which are not weak reducibilities:

$$A \leq_{rK} B \iff \exists c \forall n (K(A \upharpoonright n) \mid K(B \upharpoonright n) \leq c)$$

$$A \leq_K B \iff K(A \upharpoonright n) \leq^+ K(B \upharpoonright n)$$

$$A \leq_C B \iff C(A \upharpoonright n) \leq^+ C(B \upharpoonright n)$$

# Work on weak reducibilities

- There is a large literature on work regarding these weak reducibilities. Some questions which have been considered include:
  - For which sets  $A$  is the lower cone  $\{B : B \leq_W A\}$  countable?
  - Is every set  $A$  bounded (in the sense of  $\leq_W$ ) by a 1-random?
  - Are the 1-randoms closed upwards under  $\leq_W$ ?
  - Which sets are  $W$ -complete (or  $W$ -hard)? That is, for which sets  $A$  is  $A \geq_W \emptyset'$ ?
  - Since  $\equiv_W$  is weaker than  $\equiv_T$ , the structure of Turing degrees within a single  $W$ -degree.
  - What can be said about the degree structure of  $\equiv_W$ ?
- One approach not well-studied in the literature is the concept of a  $W$ -base for randomness. This will be our concern in this talk for  $W = LR, JT$ .

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# LR and JT-reducibilities

- We focus on these two reducibilities.

## Definition (JT-reducibility, due to Simpson)

- A **B-trace with bound  $h$**  is a uniformly  $B$ -c.e. sequence  $V_n^B$  such that for every  $n$ ,  $\#V_n^B \leq h(n)$ .
- We say that a  $B$ -trace  $V_n^B$  **traces a partial function  $\psi$**  if for every  $n$ ,  $\psi(n) \downarrow \Rightarrow \psi(n) \in V_n^B$ .
- $A \leq_{JT} B$  iff every partial  $A$ -recursive function  $\psi^A$  is traced by some  $B$ -trace with a computable bound  $h$ .

- In particular  $A \leq_{JT} \emptyset$  means that  $A$  is jump traceable.
- $\emptyset' \leq_{JT} A$  means that  $A$  is JT-hard.  
(Simpson) If  $A$  is  $\Delta_2^0$  this is equivalent to  $A$  being superhigh.

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# $LR$ and $JT$ -reducibilities

## Definition ( $LR$ -reducibility)

We say that  $A \leq_{LR} B$  iff every  $B$ -random set is  $A$ -random.

- In particular  $A \leq_{LR} \emptyset$  means that  $A$  is  $K$ -trivial.
- (Kjos-Hanssen, Miller, Solomon)  $\emptyset' \leq_{LR} A$  means that  $A$  is uniformly almost everywhere dominating.

## Lemma

$$A \leq_{LR} B \Rightarrow A \leq_{JT} B$$

- This is done by observing that the proof of “low for random implies jump traceable” relativizes correctly (using a characterization of Kjos-Hanssen, Miller, Solomon).

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# Using weak reducibilities to define lowness

- A “lowness property” is a property asserting that a given set  $A$  resembles  $\emptyset$  in some way.
- Many of the weak reducibilities are the result of relativizing a certain lowness property arising in randomness. E.g.

$$\leq_{LK}, \leq_{LR}, \leq_{JT}, \leq_{SJT}, \leq_{CT}, \leq_{cdom}.$$

- So  $A \leq_W \emptyset$  means that  $A$  is low in the sense of  $W$ .

# Computed by many sets

- Another interpretation of “ $A$  is low” is that  $A$  is easy to compute.

## Theorem (Sacks)

$A$  is non-recursive iff  $\{Z : Z \geq_T A\}$  is null.

- So nullness is too coarse. What if we change null to effectively null in  $A$ ?

## Definition (Kučera)

$A$  is a (Turing) base for randomness if  $A \leq_T Z$  for some  $A$ -random  $Z$ .

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## Theorem (Hirschfeldt-Nies-Stephan)

*If  $A$  a base for randomness then  $A$  is low for  $K$ .*

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- These properties mean that  $A$  is easy to compute in the sense of  $\leq_W$ . Trivially,
  - Each  $K$ -trivial set is low for random and hence an  $LR$ -base for randomness.
  - Each jump traceable set is a  $JT$ -base for randomness.
- But are these two notions trivial? Do you get more?

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# *JT*-base is trivial

## Theorem (Franklin-N-Solomon)

*Each JT-base for randomness is jump traceable.*

*(Hence this notion is trivial).*

## Proof.

Similar to the “Hungry Sets Theorem” of Hirschfeldt-Nies-Stephan.

- Suppose  $\psi^A$  is traced by  $T^B$  for some  $A$ -random set  $B$ . We wish to build an unrelativized c.e. trace  $V$  for  $\psi^A$ .
- If we see  $\psi^\sigma(x) \downarrow$  we want to obtain assurance that  $\sigma$  is a possible initial segment of  $A$ .
- To do this we issue descriptions of all reals  $Z$  such that  $T_x^Z$  contains the value  $\psi^\sigma(x)$ .

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## Proof continued.

- We keep “eating” these strings  $Z$  until we have described  $2^{-x}$  much reals  $Z$ .
- Only after we have eaten  $2^{-x}$  much reals  $Z$  do we finally believe that  $\sigma \subset A$  could be correct, and enumerate  $\psi^\sigma(x)$  into the unrelativized trace  $V_x$ .
- Note that if  $\sigma \subset A$  was *really the case*, then we must be able to eat up at least  $2^{-x}$  much  $Z$  and so  $\psi^A(x)$  will be traced in  $V_x$ .

## Proof continued.

- Now what is the size of  $V_x$ ?
- For each value  $\psi^\sigma(x)$  that we believe and enumerate in  $V_x$ , there is a corresponding  $2^{-x}$  much measure of oracles  $Z$  such that  $T_x^Z \ni \psi^\sigma(x)$ .
- How many different values  $\psi^\sigma(x)$  can we do this?
- At most  $2^x \cdot t(x)$ , where  $t(x)$  is the computable bound for  $\#T_x^B$ .
- So  $\#V_x \leq 2^x \cdot t(x)$ .



# $JT$ -base is trivial

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# LR-bases

- For  $LR$ -bases the situation is a lot more interesting. We know that  $LR$ -bases are strictly larger than the class of  $K$ -trivial reals.

## Proposition

*There exists an  $LR$ -base  $A$  which is low for  $\Omega$  but not  $K$ -trivial.*

## Proof.

Barnali, Lewis and Stephan constructed a  $\Pi_1^0$ -class  $P$  where every path is  $LR$ -reducible to  $\Omega$  and not  $K$ -trivial. Apply the low-for- $\Omega$  basis theorem to  $P$ . □

- Since this example gives a  $LR$ -base  $A$  which is not  $\Delta_2^0$ , it is natural to ask if

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- The answer is also no, provided by indirect means. We will come back to this.
- First, observe that *LR*-bases are closed downwards under  $\leq_{LR}$ :  
If  $A \leq_{LR} B \leq_{LR} Z$  for some *B*-random  $Z$ , then surely  $Z$  is also *A*-random.
- (C. Porter) If  $A \leq_{LR} X, Y$  where  $X$  and  $Y$  are relatively random, then  $A$  is an *LR*-base.  
Since  $X$  is  $Y$ -random and  $A \leq_{LR} Y$ , so  $X$  is also  $A$ -random.

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*If  $A$  is an *LR*-base, must there be a pair of relatively random reals  $X, Y \geq_{LR} A$ ?*

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If  $A \leq_{LR} B \leq_{LR} Z$  for some  $B$ -random  $Z$ , then surely  $Z$  is also  $A$ -random.
- (C. Porter) If  $A \leq_{LR} X, Y$  where  $X$  and  $Y$  are relatively random, then  $A$  is an  $LR$ -base.  
Since  $X$  is  $Y$ -random and  $A \leq_{LR} Y$ , so  $X$  is also  $A$ -random.

## Question

*If  $A$  is an  $LR$ -base, must there be a pair of relatively random reals  $X, Y \geq_{LR} A$ ?*

- (Barmpalias) Every  $LR$ -base  $A$  is generalized low (i.e.  $A' \leq_T A \oplus \emptyset'$ ).
- Every  $LR$ -base is a  $JT$ -base. Hence every  $LR$ -base is in fact jump traceable.
- If we restrict our study further to the  $LR$ -bases which are r.e., we get interestingly

$$K\text{-trivial} \subsetneq LR\text{-base} \subsetneq \text{superlow}.$$

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$K$ -trivial  $\subsetneq$   $LR$ -base  $\subsetneq$  superlow.

- By examining the previous proof, each  $LR$ -base is jump traceable with bound  $h(n) = 2^n$ . So not every superlow c.e. set is an  $LR$ -base.

Proposition (C. Porter)

*There exists an r.e. set  $A$  which is an  $LR$ -base and not  $K$ -trivial.*

Proof.

Barnali showed that if  $X$  and  $Y$  are  $\Delta_2^0$  sets such that  $X, Y >_{LR} \emptyset$ , then there is a c.e. set  $A$  such that

$$\emptyset <_{LR} A \leq_{LR} X, Y.$$

Take  $X, Y$  to be  $\Delta_2^0$  relatively random sets. Then  $A$  is an  $LR$ -base.  $\square$

$K$ -trivial  $\not\subseteq$   $LR$ -base  $\not\subseteq$  superlow.

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- Downey and Greenberg showed that each  $\sqrt{\log n}$ -jump traceable c.e. set is  $K$ -trivial. So we get for c.e. sets,

$$\sqrt{\log n}\text{-jump traceable} \subsetneq \text{LR-base} \subseteq 2^n\text{-jump traceable.}$$

## Question

*For which computable functions  $h$  are  $h$ -jump traceable sets an LR-base?*

- This question follows similar attempts at characterizing  $K$ -triviality in terms of traceability.



## Theorem (Franklin-N-Solomon)

*There is a c.e. set  $A$  which is an LR-base such that  $A$  is not jump traceable with the identity bound.*

## Proof.

- We present a direct construction of a c.e. set  $A$  such that  $A$  is an LR-base but is not  $K$ -trivial.
- To make  $A$  an LR-base, we build a c.e. operator  $V$  and a set  $B$  such that  $U^A \subseteq V^B$  where  $U^A$  is the universal  $A$ -c.e. set of strings of measure  $< 1$  and  $\mu(V^B) < 1$ .

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## Continued.

- To make  $B$  random relative to  $A$ , we ensure that  $B \notin [T^A]$  where  $T$  is some component of the universal  $ML$ -test relative  $A$  with small measure.
- To make  $A$   $K$ -trivial, we try and make  $U^A \not\subseteq E$  where  $E$  is a c.e. set of strings with  $\mu(E) < 1$ . Let's look at one such positive requirement.
- This positive requirement acts by enumerating a string  $\sigma$  into  $U^\alpha$  and  $V^\beta$  (where  $\alpha, \beta$  are current approximations to  $A$  and  $B$ ). We must do this because we need to ensure  $U^A \subseteq V^B$ .

## Continued.

- One of three things can happen:
  - (I)  $[\beta] \subseteq T^\alpha$ . Then this  $\beta$  cannot be used anymore as  $B$  must be made  $A$ -random. We move to another  $\beta'$  and enumerate  $\sigma$  in  $V^{\beta'}$ , until  $\mu(T^\alpha) > 2^{-t}$  (for some threshold  $t$ ).
  - (II)  $\sigma$  enters  $E$
  - (III) Nothing ever happens.
- If nothing ever happens, then we would have met the positive requirement (as  $\sigma \in U^A - E$ ).
- If (I) happens first then we abandon this cycle by restraining  $A$  and forbidding all the  $2^{-t}$  much strings  $\beta \in T^\alpha$  from being used as  $B$  again.
- Note that we abandon this cycle in (I) only at most  $2^t$  times, as each time we restrain  $A$  increasing the measure of  $T^A$  by  $2^{-t}$ .

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- Finally if (II) happens first then we change  $\alpha$  to a new one (which allows us to clear  $\sigma$  from  $U^A$  while  $\sigma$  is permanently stuck in  $E$ ).
- We would however also have lost some measure in  $V$  because we have enumerated  $\sigma$  into  $V^\beta$  for at most  $2^{-t}$  much  $\beta$  which is now wasted. However the average measure lost in  $V$  is less than  $2^{-t} \cdot 2^{-|\sigma|}$  while the opponent has lost  $2^{-|\sigma|}$  in  $E$  (a lot more than us).
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- *Is there a  $\Delta_2^0$  LR-base which is not superlow? Such an LR-base must be low.*
- *What is the quantity of LR-bases? Is there a perfect  $\Pi_1^0$  class containing only LR-bases?*
- *Is there a non-recursive hyperimmune-free LR-base? What about computably traceable?*

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